

0228-07

PTO/SB/21 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/521,619
Filing Date	July 10, 2003 (International filing date)
First Named Inventor	Dale Du Bois et al.
Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	067538-5177-US

RECEIVED

MAR 05 2007

OFFICE OF PETITIONS

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Exhibits A-D; Copy of Statement of Facts by Maria S. Swiatek dated 10/06/06; a Self-Addressed Stamped Postcard; <i>copy of Declaration by Craig Wildman.</i>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Remarks	

RECEIVED

16 MAR 2007

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Legal Staff International Division		
MORGAN LEWIS & BOCHIUS LLP, 2 Palo Alto Square, 3000 El Camino Real, Ste. 700, Palo Alto, CA 94306			
Signature	<i>Morgan Lewis & Bockius LLP</i>		
Printed name	Maria S. Swiatek		
Date	February 26, 2007	Reg. No.	37,244

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Being sent via Express Mail No. Above.

Typed or printed name

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

MARIA S. SWIATEK
swiatek.maria@dorsey.com

September 22, 2006
REMINDER NOTICE
September 28, 2006

via Federal Express label no. 8545 0227 1121

Mr. Jeffrey M. Kowalski
122 Zanzibar Road
Aptos, CA 95003-5541

URGENT-Please respond immediately

Re: Du Bois, *et al.*, U.S. Patent Application 10/521,619 for:
Thermal Processing System and Configurable Vertical Chamber
Filed On: July 10, 2003 (Int'l Filing Date)
Our File No.: A-71748/MSS (463035-770)

RECEIVED

MAR 05 2007

OFFICE OF PETITIONS

Dear Jeff:

Further to my letter of September 22, 2006 and subsequent reminders as you know the deadline is fast approaching to submit the Oath in connection with the Notification of Missing Requirements received for the above referenced patent application. Since we have not heard from you we again enclose an additional copy of the above referenced patent application as filed with the United States Patent Office to assist you in your recollection of the filed specification. You may recall, the application was filed with the United States Patent Office on January 14, 2005 and claimed priority to PCT Application Serial No. PCT/US2003/021575.

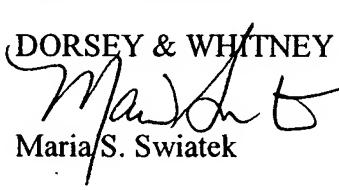
For your convenience, I have also enclosed a further original of the Declaration and the Assignment for this matter. Please review the application and sign and date the enclosed (updated) Declaration and Assignment documents where indicated. Please note that the signature on the Assignment is to be acknowledged on the notarial form included therewith. It is preferred that the Assignment be notarized, however this is not a requirement.

Please returned the executed documents to our Palo Alto office at your earliest convenience and certainly no later than October 4, 2006, so that we may file the formal documents with the Patent Office upon receipt.

Please contact me should you have any questions.

Very truly yours,

DORSEY & WHITNEY LLP


Maria S. Swiatek

MSS:kba

DORSEY & WHITNEY LLP • WWW.DORSEY.COM • T 650.857.1717 • F 650.857.1288
1717 EMBARCADERO ROAD • P.O. BOX 51050 • PALO ALTO, CALIFORNIA 94303

USA CANADA EUROPE ASIA

4849-8646-5281\1

Jeffrey M. Kowalski
September 22, 2006
REMINDER NOTICE
September 28, 2006
Page 2

Enclosures: Full copy of patent application and figures for Serial No. 10/521,619
Declaration for patent application, Serial No. 10/521,619
Assignment for patent application, Serial No. 10/521,619



Express Mail No. EV 533 738 407 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:	Dale Du Bois et al.	Confirmation No.:	2146
Serial No.:	10/521,619	Art Unit:	To be assigned
Filed:	July 10, 2003 (International Filing Date)	Examiner:	To be assigned
For:	THERMAL PROCESSING SYSTEM AND CONFIGURABLE VERTICAL CHAMBER	Attorney Docket No:	067538-5177-US

FEE TRANSMITTAL SHEET

Mail Stop PCT
Commissioner for Patents
Office of PCT Legal Administration
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

MAR 05 2007

OFFICE OF PETITIONS

Sir:

The Applicant does not believe any fee is due. However we hereby authorize the Commissioner to charge any overpayment or credit any payment to our Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310 (order no. 067538-5177-US). A copy of this sheet is enclosed.

Date: February 26, 2007

Respectfully submitted,

37,244

(Reg. No.)

Customer No. 24341

MORGAN, LEWIS & BOCKIUS LLP

2 Palo Alto Square

3000 El Camino Real, Suite 700

Palo Alto, California 94306

Ph: (650) 843-4000

Fax: (650) 843-4001

Palo Alto Main Post Office
PALO ALTO, California
943039998

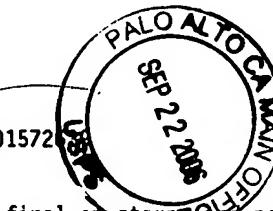
0567830190 -0095
09/22/2006 (800)275-8777 04:54:43 PM

Product Description	Sale Qty	Unit Price	Final Price
APTOS CA 95003 EM PO-Add Flat Rate 13.40 oz. Label #: EV899334587US 2nd Delivery Day 3PM / Normal Delivery Paid by account: EMCA account number:			\$14.40
			\$14.40
			554845
Total:			\$0.00

Paid by:

Bill#: 1000501572
Clerk: 14

All sales final on stamps and postage.
Refunds for guaranteed services only.
Thank you for your business.
Customer Copy



RECEIVED

MAR 05 2007

OFFICE OF PETITIONS

MARIA S. SWIATEK
swiatek.maria@dorsey.com

September 22, 2006

via u.s. express mail
mailing label no. EV 899 334 587 US

Mr. Jeffrey M. Kowalski
122 Zanzibar Road
Aptos, CA 95003-5541

EV899334587US

Re: Du Bois, *et al.*, U.S. Patent Application 10/521,619 for:
Thermal Processing System and Configurable Vertical Chamber
Filed On: July 10, 2003 (Int'l Filing Date)
Our File No.: A-71748/MSS (463035-770)

RECEIVED

MAR 05 2007

Dear Jeff:

OFFICE OF PETITIONS

Further to my numerous letters and telephone calls to you during the months of January and February 2006, we again attempt to reach you regarding the above referenced patent application. Please find enclosed an additional copy of the above referenced patent application as filed with the United States Patent Office to assist you in your recollection of the filed specification. You may recall, the application was filed with the United States Patent Office on January 14, 2005 and claimed priority to PCT Application Serial No. PCT/US2003/021575.

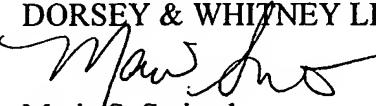
For your convenience, I have also enclosed a further original of the Declaration and the Assignment for this matter. Please review the application and sign and date the enclosed Declaration and Assignment documents where indicated. Please note that the signature on the Assignment is to be acknowledged on the notarial form included therewith. It is preferred that the Assignment be notarized, however this is not a requirement.

Please returned the executed documents in the enclosed self-address stamped envelope to our Palo Alto office at your earliest convenience and certainly no later than **October 9, 2006**, so that we may file the formal documents with the Patent Office upon receipt.

Please contact me should you have any questions.

Very truly yours,

DORSEY & WHITNEY LLP


Maria S. Swiatek

MSS/lm
4832-2033-3313\1

Enclosures: Full copy of patent application and figures for Serial No. 10/521,619
Declaration for patent application, Serial No. 10/521,619
Assignment for patent application, Serial No. 10/521,619

DORSEY & WHITNEY LLP • WWW.DORSEY.COM • T 650.857.1717 • F 650.857.1288
1717 EMBARCADERO ROAD • P.O. BOX 51050 • PALO ALTO, CALIFORNIA 94303
USA CANADA EUROPE ASIA

RECEIVED

MAR 05 2007

OFFICE OF PETITIONS

Mailing Label
Label 11-F, April 2004



UNITED STATES POSTAL SERVICE®

Post Office To Addressee

ORIGIN (POSTAL SERVICE USE ONLY)			DELIVERY (POSTAL USE ONLY)		
PO ZIP Code	Day of Delivery	Postage	Delivery Attempt	Time	AM □ PM □
	<input type="checkbox"/> Next <input type="checkbox"/> 2nd <input type="checkbox"/> 2nd Del. Day	\$	Mo. Scheduled Date of Delivery	Day	Employee Signature
Date Accepted	Month	Return Receipt Fee	Mo. Delivery Attempt	Time	AM □ PM □
Mo. Day Year	Day	\$	Mo. Delivery Date	Day	Employee Signature
Time Accepted	<input type="checkbox"/> AM <input type="checkbox"/> PM	COD Fee	Mo. Delivery Date	Time	AM □ PM □
	<input type="checkbox"/> Noon <input type="checkbox"/> 3 PM <input type="checkbox"/> Military	Insurance Fee	Mo. Delivery Date	Day	Employee Signature
Fiat Rate <input type="checkbox"/> or Weight	<input type="checkbox"/> 2nd Day <input type="checkbox"/> Int'l Alpha Country Code	\$	Mo. Delivery Date	Time	AM □ PM □
Ibs. ozs.		Total Postage & Fees	Mo. Delivery Date	Day	Employee Signature
CUSTOMER USE ONLY METHOD OF PAYMENT Express Mail Corporate Acct. No.			Mo. Delivery Date	Time	AM □ PM □
X554845			Mo. Delivery Date	Day	Employee Signature

Federal Agency Acct. No. or
Postal Service Acct. No.

FROM: PLEASE PRINT
PHONE 650 857 1717

TO: (PLEASE PRINT)
PHONE _____

Mr. Jeffrey M. Kowalski
122 Zanzibar Road
Aptos, CA 95003-5541

Aviator
A-71748/mss 463035-770

FOR PICKUP OR TRACKING: visit WWW.USPS.COM or Call 1-800-222-1811

7/30/07 File # F102



EV 899334587 US

PRESS HARD. YOU ARE MAKING 3 COPIES.

Service Guarantee: Express Mail/international mailings are not covered by this service agreement. Military shipments delayed due to customs inspections are also excluded. If the shipment is mailed at a designated USPS Express Mail facility on or before the specified deposit date or before the applicable guaranteed time, signature of the addressee or agent will be attempted before the guaranteed time and the mailer files a claim for a refund. The USPS will make every effort to deliver the package within the guaranteed time. Delays caused by proper detention for law enforcement purposes; strike or work stoppage; late deposit of shipment; handling, routing, delivery, or transportation by air carriers, war disturbance, or civil disturbance, or damage resulting from events outside the control of the Postal Service or acts of God. A notice for the addressee when an item cannot be delivered on a first attempt. If the item cannot be delivered on a second attempt, it will be returned to sender at no additional postage.

Please consult your local Express Mail directory for noon and 3 p.m. delivery areas and/or information on international and military Express Mail services. See the Domestic Mail Manual for details.

Insurance Coverage: Insurance is provided only in accordance with postal regulations in the *Domestic Mail Manual (DMM)* and for international shipments, the *International Mail Manual (IMM)*. The DMM and IMM set forth the specific types of losses that are covered; the limitations on coverage, terms of insurance, conditions of payment, and adjudication procedures. Copies of the DMM and IMM are available for inspection at any Post Office and online at usps.gov. If copies are not available and information on Express Mail insurance is requested, please contact postmaster prior to mailing. The DMM and IMM consist of federal regulations, and USPS personnel are NOT authorized to change or waive these regulations or grant exceptions.

- The contents of Express Mail shipments by postal regulations as merchandise are insured against loss, damage, or lifting. Coverage up to \$100 per shipment is included at no additional charge. Additional merchandise insurance up to \$5,000 per shipment may be purchased for an additional fee; however, additional insurance is void if waiver of the addressee's signature is requested.

Coverage extends to the actual value of the contents at the time of mailing or the cost of repairs, not to exceed the limit fixed for the insurance coverage obtained.

- Items defined by postal regulations as "negotiable items" (items that can be converted to cash without resort to forged currency, or bullion are insured up to a maximum of \$15 per shipment).

For International Express Mail shipments, insurance coverage may vary by country and may not be available to some countries. Indemnity is not paid for items containing coins, banknotes, currency notes (paper money); securities of any kind payable to the bearer; traveler's checks; platinum, gold, and silver (manufactured or not); precious stones, jewelry, and other valuable or prohibited articles.

Items defined by postal indemnity regulations as "nonnegotiable documents" are insured against loss, damage, or lifting up to \$100 per shipment for document reconstruction. Subject to additional limitations for multiple pieces lost or damaged in a single catastrophic occurrence, document reconstruction insurance provides reimbursement for the reasonable costs incurred in reconstructing duplicates of nonnegotiable documents mailed. Document reconstruction insurance coverage above \$100 per shipment is NOT available, and attempts to purchase additional document insurance are void.

No coverage is provided for consequential losses due to loss, damage, or delay of Express Mail or for concealed damage, spoilage of perishable items, and articles in property packed or too fragile to withstand normal handling in the mail.

COVERAGE TERMS AND LIMITATIONS ARE SUBJECT TO CHANGE. Please consult Domestic Mail Manual and International Mail Manual, both of which are available at usps.gov, for additional limitations and terms of coverage.

Claims: Original customer receipt of the Express Mail label must be presented when filing an indemnity claim and/or for a postage refund.

- All claims for delay, loss, damage, or lifting must be made within 90 days of the date of mailing; for International, call 1-800-222-1811.
- Claim forms may be obtained and filed at any post office.

- To file a claim for damage, the article, container, and packaging must be presented to the USPS for inspection. PLEASE DO NOT REMAIL. THANK YOU FOR CHOOSING EXPRESS MAIL.